

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section #3

Applicant: David J. Grainger et al.

Title: COMPOUNDS AND METHODS TO INHIBIT OR AUGMENT AN INFLAMMATORY RESPONSE

Docket No.: 295.027US1

Filed: September 11, 1998

Examiner: Not Assigned

Serial No.: 09/150,813

Due Date: December 30, 1998

Group Art Unit: 1644



Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

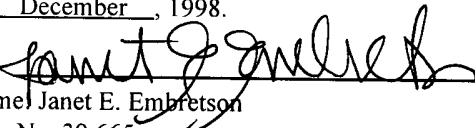
We are transmitting herewith the attached:

- ☒ An Assignment of the invention to NeoRx Corporation (3 pgs.) and Recordation Form Cover Sheet (1 pg.).
- ☒ A signed Combined Declaration and Power of Attorney (3 pgs.).
- ☒ A check in the amount of \$90.00 to cover the Extension Fee.
- ☒ A check in the amount of \$130.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$4,086.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ A check in the amount of \$40.00 to cover the Assignment Recording Fee.
- ☒ Form PTO-1533 (1pg.)
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A return postcard.
- ☒ Other: Petition for One-Month Extension of Time (1 pg.)

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 29 day of December, 1998.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By:   
Name: Janet E. Embretson  
Reg. No. 39,665  
JEE:CMG:lmc

S/N 09/150,813

#3  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: David J. Grainger et al.

Examiner: Not Assigned

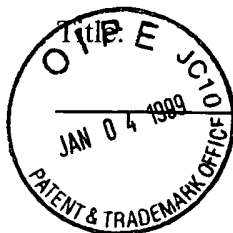
Serial No.: 09/150,813

Group Art Unit: 1644

Filed: September 11, 1998

Docket: 295.027US1

COMPOUNDS AND METHODS TO INHIBIT OR AUGMENT AN  
INFLAMMATORY RESPONSE



**COMMUNICATION RE: MISSING PARTS**

Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$130.00 to cover the large entity surcharge and a check in the amount of \$4,086.00 to cover the large entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6959.

Respectfully submitted,

DAVID J. GRAINGER ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6959

Date December 29, 1998

By Janet E. Embretson

Janet E. Embretson  
Reg. No. 39,665  
JEE:CMG:lmc

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231, on Dec 29, 1998

(Date of Deposit)

Lisa M. Bernadine  
Lisa M. Bernadine



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/150,813 09/11/98 GRAINGER

295.027US1

021186 0232/0930  
SCHWEGMAN LUNDBERG WOESSNER & KLUTH  
P O BOX 2938  
MINNEAPOLIS MN 55402

NOT ASSIGNED

DATE MAILED 44



**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

09/30/98

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 4628.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 370, including any multiple dependent claim fees, are required.

\$ 2624 for 32 independent claims over 3.

\$ 814 for 17 dependent claims over 20.

\$ 270 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

Initial Patent Examination Division (702) 295-5000

NOV. 30, 1998  
MAR. 30, 1999

1999 MAR 01 14 190743 09230813  
130.00 CH  
790.00 CH  
2624.00 CH  
762.00 CH  
52.00 CH  
270.00 CH